



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/893,737	06/28/2001	Paul O. Sheppard	00-41

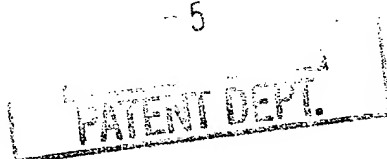
ZymoGenetics, Inc.  
1201 Eastlake Avenue East  
Seattle, WA 98102

CONFIRMATION NO. 3361

**FORMALITIES LETTER**



\*OC000000006992343\*



Date Mailed: 10/29/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

FILED UNDER 37 CFR 1.53(b)

DOCKETED

*Filing Date Granted*

RESPONSE DUE 12/29/01 max

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$280.
  - \$280 for 7 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 700.

*A copy of this notice **MUST** be returned with the reply.*

**BEST AVAILABLE COPY**

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09893737  
14/2002 BNGUYEN1 00000035 260290

FC:205 65.00 CH

09893737  
14/2002 BNGUYEN1 00000035 260290

FC:201 370.00 CH  
FC:202 294.00 CH



*Letter 8*

PATENT APPLICATION  
File No: 00-41

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Paul O. Sheppard, Scott R. Presnell  
Serial No. : 09/893,737  
Group Art Unit : 1633  
Examiner :  
Filed : June 28, 2001  
For : MAMMALIAN SECRETED PROTEINS

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

Sir:

I hereby certify that the attached correspondence comprising:

1. Return Post card
2. Response to Notice to File Missing Parts (in duplicate)
3. Copy of Notice to File Missing Parts
4. Executed Combined Declaration and Power of Attorney (2 pages)
5. Copy of Application Fee Transmittal

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

on November 30, 2001.

*Marianne Carello*  
Marianne Carello

**BEST AVAILABLE COPY**



PATENT APPLICATION  
File No: 00-41

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Paul O. Sheppard, Scott R. Presnell  
Serial No. : 09/893,737  
Group Art Unit : 1633  
Examiner :  
Filed : June 28, 2001  
For : MAMMALIAN SECRETED PROTEINS  
Date Submitted : November 30, 2001

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231


Sir:

Submitted herewith is the Combined Declaration and Power of Attorney signed and dated by Applicants for the above-captioned application. This submission is in response to the Notice to File Missing Parts dated October 29, 2001 (a copy thereof is attached hereto) and is being filed within two months of the date of the letter.

Also in response to the Notice to File Missing Parts dated October 29, 2001, enclosed is a copy of the Application Fee Transmittal submitted with the above-identified patent application. Applicants believe that the total filing fee has already been submitted pursuant to this Application Fee Transmittal.

Applicants claim small entity status. Please charge the total fee, estimated to be \$65.00, to ZymoGenetics, Inc., Deposit Account No. 26-0290. A duplicate of this sheet is enclosed.

Respectfully submitted,

  
Robyn Adams  
Registration No. 44,495

**BEST AVAILABLE COPY**



Express Mail Label No. EL684002591US

Date of Deposit: June 28, 2001

File No.: 00-41

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**APPLICATION FEE TRANSMITTAL**

Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 CFR §1.53(b) is the

- ☒ patent application ☐ continuation patent application  
☐ divisional patent application ☐ continuation-in-part patent application

of Applicants: Paul O. Sheppard, Scott R. Presnell

Title: MAMMALIAN SECRETED PROTEINS

- ☒ 63 pages of specification ☐ \_\_\_\_\_ sheets of drawings  
☒ 335 pages of sequence listing  
☐ An assignment of the invention to \_\_\_\_\_  
☒ 2 sheets of ☐ signed ☒ unsigned Declaration and Power of Attorney  
☒ **ASCII Computer Disk Sequence pursuant to 37 C.F.R. 1.821(f). It is believed that the content of the paper sequence listing and the computer readable sequence listing are the same.**

**CALCULATION OF APPLICATION FEE**

Claim Type	No. Filed	Less	Extra	Extra Rate	Fee
Total	19	-20	0	\$9.00	\$000.00
Independent	10	-3	7	\$40.00	\$280.00
Basic Fee					\$355.00
Multiple Dependency Fee If Applicable (\$135.00)					\$000.00
<b>Total Filing Fee</b>					<b>\$615.00</b>

☐ Priority of application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. 119. A certified copy thereof is submitted herewith.

☒ The benefit of application Serial No. 60/215,446 filed on June 30, 2000 in the U.S. Patent and Trademark Office is claimed under 35 U.S.C. 120 or 119(e) 1.

Applicants claim small entity status. Please charge ZymoGenetics, Inc., Deposit Account No. 26-0290 as follows:

- ☒ Filing fee, estimated to be \$615.00  
☐ Assignment recording fee  
☒ Any additional fees associated with this paper or during the pendency of this application.  
☐ The issue fee set in 37 C.F.R. 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

A copy of this sheet is enclosed.

Respectfully submitted,

*Robyn Adams*